

## 1. PERFORMANCE STANDARD

The goal of post-approval monitoring is to work with, and in support of, research staff members and to confirm accurate and consistent protocol performance in a collegial and unobtrusive manner.

## 2. BACKGROUND

Post approval monitoring of Animal Use Protocols (AUPs) is performed to provide assurance to regulatory agencies and SHSU that animal experiments are monitored for compliance with approved IACUC protocols. The Committee confirms consistency with approved protocols and accuracy of practices.

## 3. ROLES

3.01 Investigators and research personnel will work in conjunction with the IACUC to facilitate observation of procedures and document compliance with approved protocols.

3.02 The IACUC will do the following:

- a. Work with the investigator and research personnel to observe activity, prepare accurate reports, and if necessary, provide training and recommendations for maintaining compliance.
- b. Provide operational oversight of the post approval monitoring program, assure that the IACUC receives reports or updates on items of concern, and provide training support as required to assure compliance.

3.03 The IACUC Coordinator will coordinate visits, correspondence, and documentation, maintain records, and correspond with the IACUC.

## 4. REQUIRED PROTECTIVE MEASURES

The IACUC, as well as other visitors, shall wear the Personal Protective Equipment (PPE) prescribed for the specific activity/procedure of the laboratory.

Sam Houston State University  
Academic Policy Statement 140217  
IACUC – Post Approval Monitoring  
of Approved Research Involving Animals  
Page 2 of 4  
February 12, 2014

- (6) Euthanasia
- (7) Record Keeping
- (8) Laboratory

#### 6. ANIMAL MISUSE, MISTREATMENT OR NEGLECT

Welfare issues and discrepancies, which result in animal welfare concerns (deliberate animal misuse, mistreatment or neglect, or those that involve willful disregard for appropriate animal care) will be immediately reported to the IACUC in accordance with Public Health Service Policy. The IACUC staff, in conjunction with the IACUC Chair, will gather information to present to the IACUC for review and, if necessary, further investigation.

#### 7. PROCESS OF SHARING INFORMATION CONCERNING THE REVIEW

- 7.01 The IACUC shall discuss monitoring results with the Principal Investigator and other research personnel before leaving the laboratory. Issues that pose an immediate threat to animal welfare shall be referred to the Attending Veterinarian or other veterinarian for immediate resolution.
- 7.02 The IACUC Coordinator shall send a written draft report of the monitoring results to the Principal Investigator and other research personnel. Investigators will have an opportunity to respond to the draft report before the final report is prepared.
- 7.03 The IACUC Coordinator shall send a final written report of the monitoring results to the Principal Investigator, research personnel, IACUC, and the Attending Veterinarian.

#### 8. PROCESS FOLLOW-UP

- 8.01 The IACUC Coordinator will follow up on any issues that require protocol modifications, orientation of new personnel, or training. The IACUC

